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Substitute for form 1449A/PTO Sheet

## INFORMATION DISCLOSURE **STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known					
Application Number	10/017.718				
Filing Date	December 14, 2001				
First Named Inventor	WEISGRABER ET AL.				
Group Art Unit	To Be Assigned				
Examiner Name	To Be Assigned				
Attorney Docket Number	UCAL222				

	U.S. PATENT DOCUMENTS								
Examiner Initials'	Cite No. <sup>1</sup>	U.S, Patent Documents  Number Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear				
TNT		5,387,742	Cordell ,	02/07/95					
TNT		5,602,309	Albers et al.	02/11/97					
TNT		5,612,486	McConlogue	03/18/97					
TVT		5,672,805	Neve	09/30/97					
TUT		5,720,936	Wadsworth et al.	02/24/98					
TNT		5,767,337	Roses et al.	06/16/98	****				
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TH		6,046,381	Mucke et al.	04/04/00					

	FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Cite Foreign Patent Documents		Name of Patentee or	Date of Publication	Pages, Columns, Lines,			
Initials'	No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> ( <i>if known</i> )	Applicant of Cited Documents	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
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	OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>		T <sup>2</sup>				
TM		Baum et al. (2000) Microsc. Res. Tech. 50(4):278-281					
TUT		Dong and Weisgraber (1996) J. Biol. Chem. 271:19053-19057					
TH		Dong et al. (1994) J. Biol. Chem. 269:22358-22365					
TNT		Weisgraber (1994) Adv. Protein Chem. 45:249-302					
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C mpl te if Kn wn Substitute for form 1449A/PTO Application Number 10/017,718 INFORMATION DISCLOSURE Filing Date December 14, 2001 STATEMENT BY APPLICANT First Named Inventor WEISGRABER, KARL H. RECEIVED **Group Art Unit** 1632 (use as many sheets as necessary) Examiner Name To be assigned Sheet of Attorney Docket Number **UCAL-222** 

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U.S. PATENT DOCUMENTS									
Examiner Initials'	Cite No. <sup>1</sup>	U.S. Pate Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear			
			(if known)		MM-DD-YYYY				

FOREIGN PATENT DOCUMENTS								
Examiner Initials'	Cite No. <sup>1</sup>	Forei	ign Patent D Number <sup>4</sup>	ocuments  Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ <sup>6</sup>

		OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
127		Raffai, R. <i>et al</i> , "Hypomorphic Apolipoprotein E Mice", The Journal of Biological Chemistry, March 2002, Vol.277, No.13, pages 11064-11068.	
TNT		Capecchi, M.R. "Targeted Gene Replacement", Scientific American, March 1994, Vol 270, No.3, pages 34-41.	
TNT		Sambrook, J. <i>et al.</i> Molecular Cloning A Laboratory Manual, Second Edition. Cold Spring Harbour Laboratory Press. 1989, pages 15.3-15.52 and 15.63-15.67.	

Examiner Signature	Mayon n	Date Considered 10/15/03
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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.